

**Table S-13****Federal Probation System—Offenders Receiving Judiciary-Funded Substance Abuse Treatment During the 12-Month Period Ending September 30, 2017**

| Circuit      | Offenders Under Supervision With Substance Abuse Conditions <sup>1</sup> | Offenders Receiving Judiciary-Funded Substance Abuse Treatment | Federal Judiciary Substance Abuse Treatment Expenditures <sup>2</sup> |                                  |
|--------------|--|--|---|----------------------------------|
|              |  |  | Total Expenditures  | Average Expenditure per Offender |
| <b>TOTAL</b> | <b>76,417</b>  | <b>26,702</b>  | <b>\$33,146,886</b>   | <b>\$1,512</b>                   |
| DC           | 432  | 130  | \$177,901   | \$1,368                          |
| FIRST        | 1,656  | 687  | \$2,102,065   | \$3,060                          |
| SECOND       | 5,712  | 1,646  | \$3,328,783   | \$2,022                          |
| THIRD        | 4,165  | 418  | \$1,167,818   | \$2,794                          |
| FOURTH       | 11,501   | 3,936  | \$4,153,060   | \$1,055                          |
| FIFTH        | 11,090   | 5,030  | \$4,426,846   | \$880                            |
| SIXTH        | 6,142  | 2,007  | \$2,085,265   | \$1,039                          |
| SEVENTH      | 4,321  | 1,627  | \$1,842,144   | \$1,132                          |
| EIGHTH       | 6,918  | 2,734  | \$2,854,972   | \$1,044                          |
| NINTH        | 12,336   | 4,200  | \$6,091,942   | \$1,450                          |
| TENTH        | 3,656  | 1,937  | \$2,281,636   | \$1,178                          |
| ELEVENTH     | 8,488  | 2,350  | \$2,634,454   | \$1,121                          |

NOTE: In 2005, data included judiciary-funded substance abuse treatment and testing.

<sup>1</sup> Includes all offenders on active supervision on the last day of the 12-month period in which substance abuse treatment conditions were in effect.

<sup>2</sup> Excludes expenditures by local, county, and state governments.

**Table S-14****U.S. District Courts—Pretrial Services Defendants Receiving Judiciary-Funded Substance Abuse Treatment During the 12-Month Period Ending September 30, 2017**

| Circuit      | Defendants in Pretrial Services With Substance Abuse Conditions <sup>1</sup> | Defendants Receiving Judiciary-Funded Substance Abuse Treatment | Federal Judiciary Substance Abuse Treatment Expenditures <sup>2</sup> |                                   |
|--------------|--|---|---|-----------------------------------|
|              |  |   | Total Expenditures  | Average Expenditure per Defendant |
| <b>TOTAL</b> | <b>22,634</b>  | <b>5,593</b>  | <b>\$10,452,372</b>   | <b>\$2,040</b>                    |
| DC           | 0  | 0   | \$0   | \$0                               |
| FIRST        | 794  | 129   | \$561,149   | \$4,350                           |
| SECOND       | 2,284  | 344   | \$987,023   | \$2,869                           |
| THIRD        | 1,558  | 156   | \$609,280   | \$3,906                           |
| FOURTH       | 2,639  | 672   | \$813,093   | \$1,210                           |
| FIFTH        | 3,089  | 882   | \$630,196   | \$715                             |
| SIXTH        | 1,975  | 378   | \$367,208   | \$971                             |
| SEVENTH      | 996  | 197   | \$418,759   | \$2,126                           |
| EIGHTH       | 2,211  | 540   | \$602,512   | \$1,116                           |
| NINTH        | 4,063  | 1,465   | \$4,641,220   | \$3,168                           |
| TENTH        | 1,369  | 376   | \$424,844   | \$1,130                           |
| ELEVENTH     | 1,656  | 454   | \$397,088   | \$875                             |

NOTE: In 2005, data included judiciary-funded substance abuse treatment and testing.

<sup>1</sup> Includes all defendants on active supervision on the last day of the 12-month period in which substance abuse treatment conditions were in effect.

<sup>2</sup> Excludes expenditures by local, county and state governments.

**Table S-17. Matters Disposed of by U.S. Magistrate Judges  
During the 12-Month Periods Ending September 30, 2008 Through 2017**

| Activity                               | 2008             | 2013             | 2014             | 2015             | 2016             | 2017             | Percent Change<br>2017/2016 |
|--|------------------|------------------|------------------|------------------|------------------|------------------|-----------------------------|
| <b>Total Matters</b>                   | <b>1,068,185</b> | <b>1,175,648</b> | <b>1,105,481</b> | <b>1,088,434</b> | <b>1,095,318</b> | <b>1,099,482</b> | <b>0.38</b>                 |
| <b>Additional Duties</b>               | <b>544,805</b>   | <b>604,037</b>   | <b>582,089</b>   | <b>563,591</b>   | <b>568,292</b>   | <b>568,102</b>   | <b>-0.0</b>                 |
| <b>Criminal</b>                        | <b>168,073</b>   | <b>201,720</b>   | <b>182,395</b>   | <b>186,706</b>   | <b>191,817</b>   | <b>192,622</b>   | <b>0.4</b>                  |
| Non-Dispositive Motions                | 91,362           | 107,725          | 98,353           | 101,996          | 103,391          | 102,846          | -0.5                        |
| Dispositive Motions (R&Rs)             | 5,340            | 3,183            | 3,200            | 5,279            | 3,950            | 3,636            | -7.9                        |
| Pretrial Conferences                   | 23,727           | 38,345           | 29,354           | 27,530           | 27,770           | 27,325           | -1.6                        |
| Evidentiary Hearings                   | 2,339            | 2,104            | 1,907            | 1,896            | 1,862            | 1,898            | 1.9                         |
| Motion Hearings/Arguments              | 9,194            | 6,981            | 6,234            | 5,895            | 6,179            | 6,682            | 8.1                         |
| Guilty Plea Proceedings                | 24,016           | 30,727           | 29,536           | 28,070           | 29,451           | 28,764           | -2.3                        |
| Probation Revocation Hearings          | 397              | 179              | 151              | 169              | 260              | 337              | 29.6                        |
| Supervised Release Revocation Hearings | 1,878            | 2,488            | 2,370            | 2,195            | 2,496            | 2,913            | 16.7                        |
| Reentry/Drug Court Proceedings [1]     | 0                | 600              | 2,654            | 5,103            | 7,338            | 8,192            | 11.6                        |
| Other [2]                              | 9,820            | 9,388            | 8,636            | 8,573            | 9,120            | 10,029           | 10.0                        |
| <b>Civil</b>                           | <b>353,301</b>   | <b>375,455</b>   | <b>372,952</b>   | <b>350,754</b>   | <b>349,788</b>   | <b>348,629</b>   | <b>-0.3</b>                 |
| Non-Dispositive Motions                | 235,708          | 229,946          | 228,828          | 218,218          | 215,725          | 214,886          | -0.4                        |
| Dispositive Motions (R&Rs)             | 9,314            | 22,121           | 19,178           | 14,416           | 14,768           | 15,285           | 3.5                         |
| Social Security Appeal R&Rs            | 4,931            | 4,966            | 5,883            | 5,444            | 4,683            | 4,710            | 0.6                         |
| Settlement Conferences/Mediations      | 21,059           | 22,763           | 20,650           | 22,090           | 22,187           | 21,239           | -4.3                        |
| Other Pretrial Conferences             | 49,238           | 56,850           | 59,722           | 55,712           | 57,763           | 58,552           | 1.4                         |
| Evidentiary Hearings                   | 1,194            | 1,021            | 1,200            | 997              | 1,221            | 1,258            | 3.0                         |
| Motion Hearings/Arguments              | 13,982           | 12,415           | 12,848           | 10,372           | 10,313           | 9,873            | -4.3                        |
| Special Masterships                    | 433              | 5                | 2                | 1                | 1                | 0                | -100                        |
| Other [3]                              | 17,442           | 25,368           | 24,641           | 23,504           | 23,127           | 22,826           | -1.3                        |
| <b>Prisoner Litigation</b>             | <b>23,431</b>    | <b>26,862</b>    | <b>26,742</b>    | <b>26,131</b>    | <b>26,687</b>    | <b>26,851</b>    | <b>0.6</b>                  |
| State Habeas R&Rs                      | 9,172            | 8,710            | 8,481            | 8,179            | 8,019            | 7,314            | -8.8                        |
| Federal Habeas R&Rs                    | 2,761            | 3,283            | 3,415            | 3,060            | 3,742            | 4,362            | 16.6                        |
| Civil Rights R&Rs                      | 11,021           | 14,431           | 14,406           | 14,426           | 14,455           | 14,725           | 1.9                         |
| Evidentiary Hearings                   | 477              | 438              | 440              | 466              | 471              | 450              | -4.5                        |

**Table S-17. (September 30, 2017—Continued)**

| Activity                              | 2008           | 2013           | 2014           | 2015           | 2016           | 2017           | Percent Change<br>2017/2016 |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------------------|
| <b>Civil Consent</b>                  | <b>11,055</b>  | <b>15,456</b>  | <b>15,689</b>  | <b>16,490</b>  | <b>16,367</b>  | <b>17,059</b>  | <b>4.2</b>                  |
| Without Trial                         | 10,530         | 15,001         | 15,280         | 16,114         | 15,995         | 16,723         | 4.6                         |
| Jury Trial                            | 318            | 312            | 271            | 264            | 266            | 232            | -12.8                       |
| Nonjury Trial                         | 207            | 143            | 138            | 112            | 106            | 104            | -1.9                        |
| <b>Criminal Trial Jurisdiction</b>    | <b>114,751</b> | <b>125,235</b> | <b>107,144</b> | <b>95,137</b>  | <b>88,594</b>  | <b>76,345</b>  | <b>-13.8</b>                |
| Class A Misdemeanors                  | 7,558          | 8,404          | 8,351          | 8,606          | 7,000          | 5,716          | -18.3                       |
| Petty Offenses                        | 107,193        | 116,831        | 98,793         | 86,531         | 81,594         | 70,629         | -13.4                       |
| <b>Felony Preliminary Proceedings</b> | <b>343,909</b> | <b>378,906</b> | <b>347,556</b> | <b>351,440</b> | <b>366,854</b> | <b>380,269</b> | <b>3.7</b>                  |
| Search Warrants                       | 38,411         | 63,313         | 62,523         | 67,607         | 76,710         | 85,597         | 11.6                        |
| Arrest Warrants/Summonses             | 45,808         | 53,597         | 46,709         | 47,055         | 48,256         | 51,551         | 6.8                         |
| Initial Appearances                   | 100,379        | 101,364        | 93,330         | 92,582         | 94,618         | 96,637         | 2.1                         |
| Detention Hearings                    | 47,225         | 49,936         | 45,094         | 45,741         | 47,406         | 47,583         | 0.4                         |
| Preliminary Exams                     | 19,563         | 23,526         | 23,060         | 22,930         | 24,683         | 22,741         | -7.9                        |
| Arraignments                          | 62,287         | 64,215         | 56,077         | 54,880         | 54,379         | 55,198         | 1.5                         |
| Bail Reviews/Nebbia Hearings          | 10,725         | 9,449          | 8,064          | 8,079          | 8,372          | 8,360          | -0.1                        |
| Attorney Appointment                  | 14,258         | 8,575          | 7,582          | 7,660          | 6,378          | 6,214          | -2.6                        |
| Material Witness                      | 5,253          | 4,931          | 5,117          | 4,906          | 6,052          | 6,388          | 5.6                         |
| <b>Miscellaneous Matters [4]</b>      | <b>53,665</b>  | <b>52,014</b>  | <b>53,003</b>  | <b>61,776</b>  | <b>55,211</b>  | <b>57,707</b>  | <b>4.5</b>                  |

Note: R&Rs = reports and recommendations.

[1] The reentry/drug court proceedings category was added in 2013.

[2] Category includes writs and mental competency hearings, and oral argument hearings.

[3] Category includes fee applications and summary jury trials. In 2006, early neutral evaluations were added. In 2012, fee applications and grants of informa pauperis waivers, including those occurring in prisoner and social security appeals, were added.

[4] Due to a reporting problem, CJA fee applications, a component of miscellaneous matters, are estimated at the 2009 level. Beginning in 2013, orders on nondispositive motions are included in the total.

**Table S-18.**  
**Three-Judge District Court Hearings, by Nature of Suit,**  
**During the 12-Month Periods Ending September 30, 2013 Through 2017**

| <b>Nature of Suit</b>                            | <b>2013</b> | <b>2014</b> | <b>2015</b> | <b>2016</b> | <b>2017</b> |
|--|-------------|-------------|-------------|-------------|-------------|
| <b>Total</b>                                     | 6           | 8           | 7           | 7           | 9           |
| <b>Apportionment</b>                             | 2           | 6           | 2           | 4           | 7           |
| <b>Civil Rights</b>                              | 1           | 1           | 2           | 3           | 2           |
| Education  | -           | -           | -           | -           | -           |
| Employment                                       | -           | -           | -           | -           | -           |
| Public Accommodation                             | -           | -           | -           | -           | -           |
| Other Civil Rights                               | -           | -           | -           | 1           | 2           |
| Voting and Election Laws                         | 1           | 1           | 2           | 2           | -           |
| <b>Constitutionality of Other State Statutes</b> | 1           | -           | -           | -           | -           |
| <b>Other</b>                                     | 2           | 1           | 3           | -           | -           |

Table S-19.

Cases Transferred by Order of the Judicial Panel on Multidistrict Litigation,  
Cumulative From September 1968 Through September 30, 2017

| Circuit and District | 12 Months Ending September 30, 2017 |              |             |                              |                    | Cumulative 1968 - 2017 |                |                |                              |                    | Total Pending in Transferee District |
|----------------------|-------------------------------------|--------------|-------------|------------------------------|--------------------|------------------------|----------------|----------------|------------------------------|--------------------|--------------------------------------|
|                      | Into Dist.                          | Out of Dist. | Net Change  | Filed in Transferee District | Closed or Remanded | Into Dist.             | Out of Dist.   | Net Change     | Filed in Transferee District | Closed or Remanded |                                      |
| <b>TOTAL</b>         | <b>3,620</b>                        | <b>3,620</b> | <b>-</b>    | <b>36,265</b>                | <b>36,460</b>      | <b>263,776</b>         | <b>263,776</b> | <b>-</b>       | <b>333,555</b>               | <b>456,793</b>     | <b>124,202</b>                       |
| <b>DC</b>            | <b>2</b>                            | <b>61</b>    | <b>-59</b>  | <b>35</b>                    | <b>6</b>           | <b>2,386</b>           | <b>1,189</b>   | <b>1,197</b>   | <b>381</b>                   | <b>2,544</b>       | <b>194</b>                           |
|                      | 2                                   | 61           | -59         | 35                           | 6                  | 2,386                  | 1,189          | 1,197          | 381                          | 2,544              | 194                                  |
| <b>1ST</b>           | <b>117</b>                          | <b>80</b>    | <b>37</b>   | <b>134</b>                   | <b>484</b>         | <b>4,463</b>           | <b>6,755</b>   | <b>-2,292</b>  | <b>5,293</b>                 | <b>4,386</b>       | <b>4,403</b>                         |
| ME                   | -                                   | 16           | -16         | -                            | 5                  | 132                    | 854            | -722           | 21                           | 153                | -                                    |
| MA                   | 94                                  | 27           | 67          | 133                          | 376                | 2,063                  | 4,680          | -2,617         | 4,658                        | 1,419              | 4,348                                |
| NH                   | 23                                  | 5            | 18          | -                            | 5                  | 166                    | 345            | -179           | 47                           | 179                | 41                                   |
| RI                   | -                                   | 29           | -29         | 1                            | 98                 | 2,032                  | 563            | 1,469          | 215                          | 2,213              | 14                                   |
| PR                   | -                                   | 3            | -3          | -                            | -                  | 70                     | 313            | -243           | 352                          | 422                | 0                                    |
| <b>2ND</b>           | <b>342</b>                          | <b>186</b>   | <b>156</b>  | <b>623</b>                   | <b>2,002</b>       | <b>11,476</b>          | <b>21,569</b>  | <b>-10,093</b> | <b>7,387</b>                 | <b>16,592</b>      | <b>2,171</b>                         |
| CT                   | -                                   | 10           | -10         | -                            | 3                  | 532                    | 2,529          | -1,997         | 331                          | 806                | 49                                   |
| NY,N                 | -                                   | 25           | -25         | -                            | -                  | 4                      | 1,089          | -1,085         | 3                            | 7                  | 0                                    |
| NY,E                 | 5                                   | 24           | -19         | 344                          | 64                 | 3,326                  | 4,753          | -1,427         | 2,280                        | 4,373              | 1,092                                |
| NY,S                 | 337                                 | 78           | 259         | 279                          | 1,935              | 7,592                  | 11,310         | -3,718         | 4,735                        | 11,346             | 1,030                                |
| NY,W                 | -                                   | 42           | -42         | -                            | -                  | 22                     | 1,738          | -1,716         | 38                           | 60                 | 0                                    |
| VT                   | -                                   | 7            | -7          | -                            | -                  | -                      | 150            | -150           | -                            | -                  | -                                    |
| <b>3RD</b>           | <b>645</b>                          | <b>852</b>   | <b>-207</b> | <b>1,139</b>                 | <b>1,653</b>       | <b>129,139</b>         | <b>17,989</b>  | <b>111,150</b> | <b>103,609</b>               | <b>227,931</b>     | <b>5,960</b>                         |
| DE                   | 1                                   | 141          | -140        | 3                            | -                  | 181                    | 671            | -490           | 145                          | 296                | 16                                   |
| NJ                   | 582                                 | 293          | 289         | 1,032                        | 342                | 2,703                  | 5,983          | -3,280         | 3,930                        | 3,438              | 4,904                                |
| PA,E                 | 62                                  | 379          | -317        | 104                          | 1,310              | 125,814                | 9,344          | 116,470        | 99,260                       | 223,495            | 1,040                                |
| PA,M                 | -                                   | 8            | -8          | -                            | -                  | 115                    | 638            | -523           | 27                           | 133                | -                                    |
| PA,W                 | -                                   | 31           | -31         | -                            | 1                  | 326                    | 1,211          | -885           | 247                          | 569                | -                                    |
| VI                   | -                                   | -            | -           | -                            | -                  | -                      | 142            | -142           | -                            | -                  | -                                    |
| <b>4TH</b>           | <b>260</b>                          | <b>178</b>   | <b>82</b>   | <b>13,253</b>                | <b>19,319</b>      | <b>10,466</b>          | <b>31,152</b>  | <b>-20,686</b> | <b>94,627</b>                | <b>34,561</b>      | <b>45,076</b>                        |
| MD                   | 75                                  | 32           | 43          | -                            | 17                 | 1,663                  | 3,160          | -1,497         | 124                          | 1,615              | 221                                  |
| NC,E                 | -                                   | 26           | -26         | -                            | -                  | 136                    | 1,290          | -1,154         | 15                           | 151                | 0                                    |
| NC,M                 | -                                   | 12           | -12         | -                            | -                  | 19                     | 1,043          | -1,024         | 15                           | 34                 | 0                                    |
| NC,W                 | -                                   | 15           | -15         | -                            | 3                  | 110                    | 1,898          | -1,788         | 143                          | 253                | -                                    |
| SC                   | 16                                  | 35           | -19         | 416                          | 148                | 2,184                  | 3,949          | -1,765         | 2,831                        | 2,049              | 26                                   |
| VA,E                 | 52                                  | 4            | 48          | -                            | 48                 | 290                    | 11,890         | -11,600        | 82                           | 208                | 155                                  |
| VA,W                 | -                                   | 6            | -6          | -                            | -                  | 3                      | 1,145          | -1,142         | 2                            | 5                  | 0                                    |
| WV,N                 | 2                                   | 4            | -2          | 1                            | 3                  | 37                     | 367            | -330           | 4                            | 10                 | 30                                   |
| WV,S                 | 115                                 | 44           | 71          | 12,836                       | 19,100             | 6,024                  | 6,410          | -386           | 91,411                       | 30,236             | 44,644                               |

Table S-19.

Cases Transferred by Order of the Judicial Panel on Multidistrict Litigation,  
Cumulative From September 1968 Through September 30, 2017

| Circuit and District | 12 Months Ending September 30, 2017 |              |             |                              |                    | Cumulative 1968 - 2017 |               |                |                              |                    | Total Pending in Transferee District |
|----------------------|-------------------------------------|--------------|-------------|------------------------------|--------------------|------------------------|---------------|----------------|------------------------------|--------------------|--------------------------------------|
|                      | Into Dist.                          | Out of Dist. | Net Change  | Filed in Transferee District | Closed or Remanded | Into Dist.             | Out of Dist.  | Net Change     | Filed in Transferee District | Closed or Remanded |                                      |
| <b>5TH</b>           | <b>633</b>                          | <b>433</b>   | <b>200</b>  | <b>13,126</b>                | <b>4,139</b>       | <b>17,775</b>          | <b>38,889</b> | <b>-21,114</b> | <b>37,031</b>                | <b>27,082</b>      | <b>34,298</b>                        |
| LA,E                 | 506                                 | 94           | 412         | 12,327                       | 1,348              | 11,318                 | 2,977         | 8,341          | 23,671                       | 18,381             | 24,900                               |
| LA,M                 | -                                   | 33           | -33         | -                            | -                  | 8                      | 1,253         | -1,245         | 18                           | 26                 | 0                                    |
| LA,W                 | 4                                   | 69           | -65         | 122                          | 2,728              | 419                    | 2,405         | -1,986         | 4,791                        | 3,041              | 40                                   |
| MS,N                 | -                                   | 13           | -13         | -                            | -                  | 6                      | 1,260         | -1,254         | 7                            | 13                 | 0                                    |
| MS,S                 | -                                   | 39           | -39         | -                            | -                  | 27                     | 6,100         | -6,073         | 9                            | 36                 | 0                                    |
| TX,N                 | 123                                 | 30           | 93          | 672                          | 56                 | 2,055                  | 3,806         | -1,751         | 7,514                        | 677                | 9,327                                |
| TX,E                 | -                                   | 12           | -12         | -                            | 5                  | 3,449                  | 7,999         | -4,550         | 630                          | 4,079              | -                                    |
| TX,S                 | -                                   | 41           | -41         | 5                            | 2                  | 470                    | 11,737        | -11,267        | 383                          | 809                | 31                                   |
| TX,W                 | -                                   | 102          | -102        | -                            | -                  | 23                     | 1,352         | -1,329         | 8                            | 20                 | 0                                    |
| <b>6TH</b>           | <b>57</b>                           | <b>216</b>   | <b>-159</b> | <b>207</b>                   | <b>2,378</b>       | <b>11,160</b>          | <b>59,590</b> | <b>-48,430</b> | <b>25,383</b>                | <b>29,083</b>      | <b>5,454</b>                         |
| KY,E                 | -                                   | 29           | -29         | -                            | 35                 | 303                    | 945           | -642           | 84                           | 377                | 10                                   |
| KY,W                 | -                                   | 42           | -42         | -                            | 30                 | 616                    | 1,280         | -664           | 1,202                        | 699                | 31                                   |
| MI,E                 | 20                                  | 15           | 5           | 22                           | 2                  | 659                    | 2,777         | -2,118         | 538                          | 864                | 297                                  |
| MI,W                 | -                                   | 9            | -9          | -                            | -                  | 22                     | 300           | -278           | 21                           | 43                 | 0                                    |
| OH,N                 | 6                                   | 25           | -19         | 183                          | 2,270              | 4,115                  | 49,509        | -45,394        | 22,596                       | 24,220             | 1,653                                |
| OH,S                 | 31                                  | 29           | 2           | 2                            | 27                 | 4,716                  | 1,601         | 3,115          | 752                          | 1,986              | 3,462                                |
| TN,E                 | -                                   | 17           | -17         | -                            | 1                  | 35                     | 1,112         | -1,077         | 43                           | 61                 | -                                    |
| TN,M                 | -                                   | 29           | -29         | -                            | -                  | 635                    | 964           | -329           | 81                           | 716                | 0                                    |
| TN,W                 | -                                   | 21           | -21         | -                            | 13                 | 59                     | 1,102         | -1,043         | 66                           | 117                | 1                                    |
| <b>7TH</b>           | <b>184</b>                          | <b>265</b>   | <b>-81</b>  | <b>4,734</b>                 | <b>3,035</b>       | <b>7,676</b>           | <b>12,663</b> | <b>-4,987</b>  | <b>23,748</b>                | <b>21,388</b>      | <b>10,448</b>                        |
| IL,N                 | 124                                 | 81           | 43          | 3,770                        | 718                | 4,458                  | 3,588         | 870            | 7,171                        | 4,535              | 6,647                                |
| IL,E <sup>1</sup>    | -                                   | -            | -           | -                            | -                  | -                      | 9             | -9             | -                            | -                  | -                                    |
| IL,C                 | -                                   | 17           | -17         | -                            | -                  | 46                     | 1,387         | -1,341         | 18                           | 49                 | 15                                   |
| IL,S                 | -                                   | 47           | -47         | 4                            | 1,086              | 1,249                  | 1,617         | -368           | 13,265                       | 13,180             | 466                                  |
| IN,N                 | 23                                  | 7            | 16          | 121                          | 1,201              | 628                    | 1,861         | -1,233         | 2,122                        | 2,175              | 480                                  |
| IN,S                 | 36                                  | 90           | -54         | 837                          | 30                 | 1,162                  | 2,517         | -1,355         | 1,024                        | 1,185              | 2,823                                |
| WI,E                 | 1                                   | 13           | -12         | 2                            | -                  | 95                     | 1,186         | -1,091         | 8                            | 86                 | 17                                   |
| WI,W                 | -                                   | 10           | -10         | -                            | -                  | 38                     | 498           | -460           | 140                          | 178                | 0                                    |
| <b>8TH</b>           | <b>273</b>                          | <b>253</b>   | <b>20</b>   | <b>1,416</b>                 | <b>2,665</b>       | <b>23,401</b>          | <b>22,446</b> | <b>955</b>     | <b>12,435</b>                | <b>31,340</b>      | <b>6,631</b>                         |
| AK,E                 | -                                   | 6            | -6          | -                            | -                  | 9,691                  | 904           | 8,787          | 252                          | 9,943              | 0                                    |
| AK,W                 | -                                   | 7            | -7          | -                            | -                  | 15                     | 466           | -451           | 1                            | 16                 | 0                                    |
| IA,N                 | -                                   | 5            | -5          | -                            | -                  | 4                      | 519           | -515           | 4                            | 8                  | 0                                    |
| IA,S                 | -                                   | 7            | -7          | -                            | -                  | 26                     | 1,375         | -1,349         | 5                            | 31                 | 0                                    |
| MN                   | 220                                 | 66           | 154         | 1,412                        | 1,797              | 10,712                 | 13,381        | -2,669         | 11,972                       | 19,260             | 6,450                                |
| MO,E                 | 51                                  | 128          | -77         | 2                            | 818                | 2,634                  | 2,409         | 225            | 117                          | 1,716              | 150                                  |
| MO,W                 | 2                                   | 22           | -20         | 2                            | 50                 | 314                    | 1,806         | -1,492         | 82                           | 359                | 31                                   |
| NE                   | -                                   | 6            | -6          | -                            | -                  | 5                      | 681           | -676           | 2                            | 7                  | 0                                    |
| ND                   | -                                   | 1            | -1          | -                            | -                  | -                      | 387           | -387           | -                            | -                  | -                                    |
| SD                   | -                                   | 5            | -5          | -                            | -                  | -                      | 518           | -518           | -                            | -                  | -                                    |

Table S-19.

Cases Transferred by Order of the Judicial Panel on Multidistrict Litigation,  
Cumulative From September 1968 Through September 30, 2017

| Circuit and District | 12 Months Ending September 30, 2017 |              |            |                              |                    | Cumulative 1968 - 2017 |               |                |                              |                    | Total Pending in Transferee District |
|----------------------|-------------------------------------|--------------|------------|------------------------------|--------------------|------------------------|---------------|----------------|------------------------------|--------------------|--------------------------------------|
|                      | Into Dist.                          | Out of Dist. | Net Change | Filed in Transferee District | Closed or Remanded | Into Dist.             | Out of Dist.  | Net Change     | Filed in Transferee District | Closed or Remanded |                                      |
| <b>9TH</b>           | <b>829</b>                          | <b>740</b>   | <b>89</b>  | <b>1,497</b>                 | <b>289</b>         | <b>10,510</b>          | <b>24,315</b> | <b>-13,805</b> | <b>8,217</b>                 | <b>13,426</b>      | <b>7,239</b>                         |
| AK                   | -                                   | 5            | -5         | -                            | -                  | -                      | 287           | -287           | -                            | -                  | -                                    |
| AR                   | 17                                  | 135          | -118       | 872                          | 32                 | 509                    | 1,726         | -1,217         | 1,019                        | 552                | 2,624                                |
| CA,N                 | 739                                 | 66           | 673        | 335                          | 101                | 4,876                  | 4,897         | -21            | 2,480                        | 4,714              | 3,004                                |
| CA,E                 | -                                   | 70           | -70        | -                            | -                  | 12                     | 1,445         | -1,433         | 119                          | 131                | 0                                    |
| CA,C                 | 3                                   | 311          | -308       | 38                           | 65                 | 1,724                  | 7,506         | -5,782         | 1,428                        | 2,976              | 110                                  |
| CA,S                 | 70                                  | 74           | -4         | 250                          | 89                 | 931                    | 2,657         | -1,726         | 1,105                        | 588                | 1,444                                |
| HI                   | -                                   | 3            | -3         | -                            | -                  | 14                     | 622           | -608           | 11                           | 25                 | 0                                    |
| ID                   | -                                   | 6            | -6         | -                            | 1                  | 13                     | 520           | -507           | 8                            | 19                 | 0                                    |
| MT                   | -                                   | 9            | -9         | -                            | -                  | 4                      | 442           | -438           | 5                            | 9                  | 0                                    |
| NV                   | -                                   | 16           | -16        | -                            | -                  | 219                    | 1,186         | -967           | 369                          | 571                | 17                                   |
| OR                   | -                                   | 19           | -19        | 2                            | -                  | 57                     | 1,255         | -1,198         | 24                           | 41                 | 40                                   |
| WA,E                 | -                                   | 1            | -1         | -                            | -                  | 4                      | 366           | -362           | 3                            | 7                  | 0                                    |
| WA,W                 | -                                   | 25           | -25        | -                            | 1                  | 2,147                  | 1,364         | 783            | 1,646                        | 3,793              | 0                                    |
| GU                   | -                                   | -            | -          | -                            | -                  | -                      | 38            | -38            | -                            | -                  | -                                    |
| NMI                  | -                                   | -            | -          | -                            | -                  | -                      | 4             | -4             | -                            | -                  | -                                    |
| <b>10TH</b>          | <b>27</b>                           | <b>98</b>    | <b>-71</b> | <b>13</b>                    | <b>146</b>         | <b>4,466</b>           | <b>7,876</b>  | <b>-3,410</b>  | <b>409</b>                   | <b>3,975</b>       | <b>901</b>                           |
| CO                   | -                                   | 42           | -42        | -                            | -                  | 141                    | 1,605         | -1,464         | 115                          | 252                | 4                                    |
| KS                   | 24                                  | 13           | 11         | 8                            | 137                | 3,852                  | 1,133         | 2,719          | 144                          | 3,151              | 848                                  |
| NM                   | 1                                   | 10           | -9         | 4                            | -                  | 16                     | 1,015         | -999           | 14                           | 14                 | 16                                   |
| OK,N                 | 1                                   | 6            | -5         | 1                            | -                  | 31                     | 1,078         | -1,047         | 37                           | 54                 | 14                                   |
| OK,E                 | -                                   | 1            | -1         | -                            | -                  | 7                      | 272           | -265           | 16                           | 23                 | 0                                    |
| OK,W                 | 1                                   | 14           | -13        | -                            | 9                  | 200                    | 1,189         | -989           | 51                           | 230                | 19                                   |
| UT                   | -                                   | 11           | -11        | -                            | -                  | 35                     | 1,427         | -1,392         | 15                           | 50                 | 0                                    |
| WY                   | -                                   | 1            | -1         | -                            | -                  | 184                    | 157           | 27             | 17                           | 201                | 0                                    |
| <b>11TH</b>          | <b>251</b>                          | <b>258</b>   | <b>-7</b>  | <b>88</b>                    | <b>344</b>         | <b>30,858</b>          | <b>19,343</b> | <b>11,515</b>  | <b>15,035</b>                | <b>44,485</b>      | <b>1,427</b>                         |
| AL,N                 | -                                   | 46           | -46        | 2                            | 1                  | 26,718                 | 2,942         | 23,776         | 3,913                        | 30,556             | 75                                   |
| AL,M                 | -                                   | 13           | -13        | -                            | -                  | 19                     | 769           | -750           | 7                            | 26                 | 0                                    |
| AL,S                 | -                                   | 12           | -12        | -                            | -                  | 25                     | 778           | -753           | 106                          | 131                | 0                                    |
| FL,N                 | 48                                  | 19           | 29         | -                            | -                  | 99                     | 1,010         | -911           | 35                           | 86                 | 365                                  |
| FL,M                 | 3                                   | 76           | -73        | -                            | 16                 | 1,536                  | 4,651         | -3,115         | 7,503                        | 8,971              | 76                                   |
| FL,S                 | 87                                  | 37           | 50         | 2                            | 33                 | 1,219                  | 3,882         | -2,663         | 2,201                        | 3,184              | 230                                  |
| GA,N                 | 108                                 | 35           | 73         | 41                           | 15                 | 821                    | 2,821         | -2,000         | 802                          | 863                | 674                                  |
| GA,M                 | 5                                   | 14           | -9         | 43                           | 279                | 418                    | 745           | -327           | 465                          | 662                | 7                                    |
| GA,S                 | -                                   | 6            | -6         | -                            | -                  | 3                      | 1,745         | -1,742         | 3                            | 6                  | 0                                    |

<sup>1</sup> No longer a separate district.



**Table S-20.**  
**Cumulative Summary of Multidistrict Litigation**  
**During the 12-Month Periods Ending September 30, 2015 Through 2017**

|  | <b>Cumulative<br/>Totals as of<br/>Sept. 30, 2015</b> | <b>12 Months<br/>Ending<br/>Sept. 30, 2016<sup>1</sup></b> | <b>Cumulative<br/>Totals as of<br/>Sept. 30, 2016</b> | <b>12 Months<br/>Ending<br/>Sept. 30, 2017</b> | <b>Cumulative<br/>Totals as of<br/>Sept. 30, 2017</b> |
|--|---|--|---|--|---|
| <b>Total Actions Subjected to<br/>28 U.S.C. § 1407 Proceedings</b>                       | <b>553,245</b>  | <b>40,461</b>  | <b>593,706</b>  | <b>33,232</b>                                  | <b>626,938</b>  |
| Actions Transferred  | 255,955   | 4,201  | 260,156   | 3,620  | 263,776   |
| Actions Originally Filed<br>in Transferee Districts                                      | 297,290   | 36,260   | 333,550   | 29,612   | 363,162   |
| <b>Total Terminations</b>  | <b>420,333</b>  | <b>35,954</b>  | <b>456,287</b>  | <b>46,449</b>                                  | <b>502,736</b>  |
| Actions Terminated by Transferee Courts  | 404,489   | 35,578   | 440,067   | 46,069   | 486,136   |
| Actions Remanded by the Panel  | 15,844  | 376  | 16,220  | 380  | 16,600  |
| <b>Total Actions Presently Pending and<br/>Subjected to 28 U.S.C. § 1407 Proceedings</b> | <b>132,912</b>  | <b>-</b>   | <b>137,419</b>  | <b>-</b>                                       | <b>124,202</b>  |

<sup>1</sup> Revised.

**Table S-21.**  
**Representations by Federal Defender Organizations During the 12-Month Periods**  
**Ending September 30, 2013 Through 2017**

| Representations                           | 2013      | 2014      | 2015      | 2016      | 2017      | Percent Change<br>2016-2017 |
|---|-----------|-----------|-----------|-----------|-----------|-----------------------------|
| <b>Offices in Operation</b>               | <b>81</b> | <b>81</b> | <b>81</b> | <b>81</b> | <b>81</b> | <b>0.0</b>                  |
| <b>Total Representations</b>              |           |           |           |           |           |                             |
| Opened                                    | 138,039   | 118,862   | 147,704   | 161,540   | 112,661   | -30.3                       |
| Closed                                    | 140,260   | 122,802   | 127,508   | 148,794   | 127,414   | -14.4                       |
| Pending September 30                      | 45,228    | 40,822    | 60,921    | 73,493    | 58,445    | -20.5                       |
| <b>Criminal Representations</b>           |           |           |           |           |           |                             |
| Opened                                    | 92,604    | 77,545    | 70,487    | 69,059    | 61,895    | -10.4                       |
| Closed                                    | 93,820    | 80,243    | 69,373    | 67,160    | 61,127    | -9.0                        |
| Pending September 30                      | 25,684    | 23,105    | 24,376    | 26,283    | 27,065    | 3.0                         |
| <b>Other Representations <sup>1</sup></b> |           |           |           |           |           |                             |
| Opened                                    | 45,435    | 41,317    | 77,217    | 92,481    | 50,766    | -45.1                       |
| Closed                                    | 46,440    | 42,559    | 58,135    | 81,634    | 66,287    | -18.8                       |
| Pending September 30                      | 19,554    | 17,717    | 36,545    | 47,210    | 31,380    | -33.5                       |

<sup>1</sup> Includes appeals, probation/parole revocation hearings, and motions to correct or reduce sentence.

**Table S-22**  
**Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364**  
**During the 12- Month Period Ending September 30, 2017**

| Summary of Activity   | Circuits |     |    |     |     |     |     |     |     |     |     | National Courts <sup>1</sup> |      |      |    |     |
|---|----------|-----|----|-----|-----|-----|-----|-----|-----|-----|-----|------------------------------|------|------|----|-----|
|   | Total    | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th                          | 10th | 11th | CC | CIT |
| <b>Complaints Pending on September 30, 2017<sup>2</sup></b> | 237      | 5   | 3  | 34  | 12  | 8   | 28  | 18  | 42  | 11  | 3   | 32                           | 21   | 20   | 0  | 0   |
| <b>Complaints Filed</b>                                     | 1,270    | 17  | 38 | 21  | 106 | 126 | 172 | 146 | 165 | 50  | 116 | 133                          | 57   | 94   | 29 | 0   |
| <b>Sources of Complaints</b>                                |          |     |    |     |     |     |     |     |     |     |     |                              |      |      |    |     |
| Filed by Complainant  | 1,268    | 17  | 38 | 21  | 105 | 126 | 172 | 146 | 164 | 50  | 116 | 133                          | 57   | 94   | 29 | 0   |
| Identified by Circuit Chief Judges                          | 2        | 0   | 0  | 0   | 1   | 0   | 0   | 0   | 1   | 0   | 0   | 0                            | 0    | 0    | 0  | 0   |
| <b>Complainants<sup>3</sup></b>                             |          |     |    |     |     |     |     |     |     |     |     |                              |      |      |    |     |
| Prison Inmates  | 529      | 9   | 0  | 2   | 24  | 52  | 53  | 86  | 116 | 16  | 65  | 57                           | 20   | 24   | 5  | 0   |
| Litigants   | 671      | 8   | 37 | 18  | 80  | 77  | 98  | 48  | 49  | 32  | 46  | 71                           | 26   | 59   | 22 | 0   |
| Attorneys   | 21       | 0   | 2  | 0   | 1   | 0   | 1   | 5   | 0   | 1   | 1   | 2                            | 5    | 3    | 0  | 0   |
| Public Officials  | 0        | 0   | 0  | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0                            | 0    | 0    | 0  | 0   |
| Other   | 63       | 0   | 0  | 1   | 1   | 0   | 20  | 8   | 1   | 1   | 4   | 9                            | 6    | 10   | 2  | 0   |
| <b>Judges Complained About</b>                              |          |     |    |     |     |     |     |     |     |     |     |                              |      |      |    |     |
| Circuit Judges  | 345      | 9   | 17 | 10  | 18  | 35  | 60  | 35  | 62  | 5   | 34  | 22                           | 23   | 13   | 2  | 0   |
| District Judges   | 671      | 3   | 20 | 10  | 64  | 77  | 93  | 67  | 75  | 37  | 64  | 66                           | 22   | 58   | 15 | 0   |
| Court of International Trade Judges                         | 1        | 0   | 0  | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 1   | 0                            | 0    | 0    | 0  | 0   |
| Court of Federal Claims Judges                              | 10       | 1   | 0  | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 1   | 0                            | 0    | 0    | 8  | 0   |
| Bankruptcy Judges   | 46       | 2   | 1  | 1   | 8   | 2   | 3   | 7   | 4   | 5   | 0   | 7                            | 0    | 5    | 1  | 0   |
| Magistrate Judges   | 197      | 2   | 0  | 0   | 16  | 12  | 16  | 37  | 24  | 3   | 16  | 38                           | 12   | 18   | 3  | 0   |
| Tax Court Judges  | 0        | 0   | 0  | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0                            | 0    | 0    | 0  | 0   |

**Table S-22**  
**Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364**  
**During the 12- Month Period Ending September 30, 2017**

| Summary of Activity   | Circuits |     |    |     |     |     |     |     |     |     |     | National Courts <sup>1</sup> |      |      |    |     |
|---|----------|-----|----|-----|-----|-----|-----|-----|-----|-----|-----|------------------------------|------|------|----|-----|
|   | Total    | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th                          | 10th | 11th | CC | CIT |
| <b>Nature of Allegations<sup>3</sup></b>                                    |          |     |    |     |     |     |     |     |     |     |     |                              |      |      |    |     |
| Erroneous Decision  | 967      | 9   | 12 | 17  | 54  | 123 | 94  | 128 | 122 | 43  | 91  | 121                          | 45   | 84   | 24 | 0   |
| Delayed Decision  | 121      | 0   | 4  | 1   | 18  | 4   | 9   | 23  | 16  | 1   | 7   | 25                           | 3    | 6    | 4  | 0   |
| Failure to Give Reasons for Decision  | 32       | 0   | 0  | 13  | 3   | 0   | 0   | 1   | 0   | 0   | 8   | 1                            | 0    | 3    | 3  | 0   |
| Improper Discussions With Party or Counsel                                  | 55       | 0   | 0  | 0   | 0   | 0   | 5   | 12  | 0   | 0   | 11  | 10                           | 6    | 7    | 4  | 0   |
| Hostility Toward Litigant or Attorney                                       | 112      | 0   | 2  | 12  | 9   | 0   | 11  | 14  | 2   | 1   | 23  | 14                           | 11   | 6    | 7  | 0   |
| Racial, Religious, or Ethnic Bias   | 93       | 0   | 0  | 2   | 0   | 0   | 28  | 12  | 3   | 1   | 18  | 17                           | 5    | 6    | 1  | 0   |
| Personal Bias Against Litigant or Attorney                                  | 279      | 0   | 7  | 12  | 14  | 1   | 23  | 54  | 6   | 4   | 47  | 50                           | 13   | 46   | 2  | 0   |
| Conflict of Interest (Including Refusal to Recuse)                          | 115      | 0   | 8  | 13  | 5   | 1   | 11  | 14  | 1   | 2   | 8   | 28                           | 7    | 15   | 2  | 0   |
| Failure to Meet Financial Disclosure Requirements                           | 1        | 0   | 0  | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 1                            | 0    | 0    | 0  | 0   |
| Improper Outside Income   | 2        | 0   | 0  | 0   | 1   | 0   | 0   | 0   | 0   | 0   | 1   | 0                            | 0    | 0    | 0  | 0   |
| Partisan Political Activity or Statement                                    | 12       | 0   | 1  | 1   | 0   | 0   | 1   | 3   | 0   | 0   | 1   | 2                            | 2    | 1    | 0  | 0   |
| Acceptance of Bribe   | 21       | 2   | 0  | 0   | 1   | 0   | 0   | 5   | 0   | 0   | 3   | 3                            | 1    | 6    | 0  | 0   |
| Effort to Obtain Favor for Friend or Relative                               | 11       | 0   | 0  | 0   | 0   | 0   | 4   | 2   | 0   | 0   | 0   | 0                            | 2    | 3    | 0  | 0   |
| Solicitation of Funds for Organization                                      | 2        | 0   | 0  | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 0   | 2                            | 0    | 0    | 0  | 0   |
| Violation of Other Standards  | 115      | 2   | 0  | 9   | 1   | 1   | 10  | 2   | 0   | 1   | 71  | 1                            | 1    | 16   | 0  | 0   |
| Retaliation Against Complainant, Witness, or Others Involved in the Process | 51       | 0   | 1  | 0   | 0   | 0   | 16  | 1   | 1   | 0   | 29  | 0                            | 2    | 1    | 0  | 0   |
| Other Misconduct  | 370      | 8   | 18 | 3   | 4   | 90  | 91  | 39  | 28  | 3   | 4   | 24                           | 23   | 31   | 4  | 0   |
| Disability  | 27       | 0   | 1  | 0   | 0   | 0   | 13  | 3   | 0   | 0   | 0   | 3                            | 2    | 2    | 3  | 0   |

**Table S-22**  
**Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364**  
**During the 12- Month Period Ending September 30, 2017**

| Summary of Activity   | Circuits   |           |           |           |           |           |            |           |            |           |            |            | National Courts <sup>1</sup> |           |           |          |
|---|------------|-----------|-----------|-----------|-----------|-----------|------------|-----------|------------|-----------|------------|------------|------------------------------|-----------|-----------|----------|
|   | Total      | Fed       | DC        | 1st       | 2nd       | 3rd       | 4th        | 5th       | 6th        | 7th       | 8th        | 9th        | 10th                         | 11th      | CC        | CIT      |
| <b>Actions Regarding Complaints</b>                                     |            |           |           |           |           |           |            |           |            |           |            |            |                              |           |           |          |
| <b>Withdrawn</b>  | <b>4</b>   | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>1</b>  | <b>2</b>   | <b>0</b>  | <b>1</b>   | <b>0</b>  | <b>0</b>   | <b>0</b>   | <b>0</b>                     | <b>0</b>  | <b>0</b>  | <b>0</b> |
| Complaint Withdrawn with Consent of Circuit Chief Judge                 | 4          | 0         | 0         | 0         | 0         | 1         | 2          | 0         | 1          | 0         | 0          | 0          | 0                            | 0         | 0         | 0        |
| Withdrawal of Petition for Review                                       | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0          | 0          | 0                            | 0         | 0         | 0        |
| <b>Actions by Circuit Chief Judge</b>                                   |            |           |           |           |           |           |            |           |            |           |            |            |                              |           |           |          |
| Matters Returned from Judicial Council or Judicial Conference Committee | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0          | 0          | 0                            | 0         | 0         | 0        |
| <b>Complaint Dismissed in Whole or in Part<sup>3</sup></b>              | <b>987</b> | <b>22</b> | <b>35</b> | <b>30</b> | <b>80</b> | <b>97</b> | <b>133</b> | <b>88</b> | <b>113</b> | <b>35</b> | <b>107</b> | <b>122</b> | <b>50</b>                    | <b>49</b> | <b>26</b> | <b>0</b> |
| Not Misconduct or Disability  | 58         | 0         | 0         | 5         | 0         | 0         | 4          | 19        | 3          | 6         | 7          | 10         | 1                            | 0         | 3         | 0        |
| Merits Related  | 829        | 8         | 15        | 30        | 68        | 92        | 126        | 70        | 90         | 23        | 102        | 106        | 44                           | 48        | 7         | 0        |
| Frivolous   | 260        | 1         | 1         | 13        | 1         | 97        | 0          | 30        | 44         | 0         | 67         | 5          | 1                            | 0         | 0         | 0        |
| Allegations Lack Sufficient Evidence                                    | 685        | 2         | 33        | 17        | 59        | 96        | 123        | 54        | 30         | 8         | 70         | 100        | 40                           | 46        | 7         | 0        |
| Allegations Incapable of Being Established                              | 1          | 0         | 0         | 0         | 1         | 0         | 0          | 0         | 0          | 0         | 0          | 0          | 0                            | 0         | 0         | 0        |
| Filed in Wrong Circuit  | 34         | 13        | 0         | 0         | 0         | 0         | 0          | 0         | 1          | 0         | 0          | 0          | 0                            | 0         | 20        | 0        |
| Otherwise Not Appropriate   | 21         | 0         | 0         | 0         | 0         | 0         | 1          | 0         | 5          | 0         | 8          | 3          | 3                            | 0         | 1         | 0        |
| <b>Complaint Concluded in Whole or in Part</b>                          | <b>27</b>  | <b>0</b>  | <b>2</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>4</b>   | <b>7</b>  | <b>12</b>  | <b>0</b>  | <b>0</b>   | <b>0</b>   | <b>0</b>                     | <b>2</b>  | <b>0</b>  | <b>0</b> |
| Informal Resolution Before Complaint Filed                              | 1          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 1          | 0         | 0          | 0          | 0                            | 0         | 0         | 0        |
| Voluntary Corrective Action Taken                                       | 5          | 0         | 0         | 0         | 0         | 0         | 4          | 0         | 1          | 0         | 0          | 0          | 0                            | 0         | 0         | 0        |
| Intervening Events  | 21         | 0         | 2         | 0         | 0         | 0         | 0          | 7         | 10         | 0         | 0          | 0          | 0                            | 2         | 0         | 0        |

**Table S-22**  
**Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364**  
**During the 12- Month Period Ending September 30, 2017**

| Summary of Activity   | Circuits  |          |          |          |          |          |                        |          |          |          |          | National Courts <sup>1</sup> |          |          |          |          |
|---|-----------|----------|----------|----------|----------|----------|------------------------|----------|----------|----------|----------|------------------------------|----------|----------|----------|----------|
|   | Total     | Fed      | DC       | 1st      | 2nd      | 3rd      | 4th                    | 5th      | 6th      | 7th      | 8th      | 9th                          | 10th     | 11th     | CC       | CIT      |
| <b>Complaint Referred to Special Committee</b>                              | <b>18</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>14</b> <sup>4</sup> | <b>1</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>                     | <b>3</b> | <b>0</b> | <b>0</b> | <b>0</b> |
| <b>Actions by Special Committees</b>  |           |          |          |          |          |          |                        |          |          |          |          |                              |          |          |          |          |
| Matter Returned from Judicial Council                                       | 2         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 2        | 0        | 0        | 0        |
| New Matter Referred to Circuit Chief Judge                                  | 0         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 0        | 0        | 0        | 0        |
| <b>Judicial Council Proceedings</b>   |           |          |          |          |          |          |                        |          |          |          |          |                              |          |          |          |          |
| Matter Returned from Judicial Conference                                    | 0         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 0        | 0        | 0        | 0        |
| Complaint Transferred to/from Another Circuit                               | 0         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 0        | 0        | 0        | 0        |
| Special Committee Reports Submitted to Judicial Council                     | 18        | 0        | 0        | 0        | 0        | 0        | 14 <sup>4</sup>        | <b>1</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>                     | <b>1</b> | <b>2</b> | <b>0</b> | <b>0</b> |
| Received Petition for Review  | 480       | 6        | 12       | 27       | 30       | 54       | 92                     | 38       | 67       | 20       | 48       | 52                           | 12       | 19       | 3        | 0        |
| <b>Action on Petition for Review</b>  |           |          |          |          |          |          |                        |          |          |          |          |                              |          |          |          |          |
| Petition Denied   | 443       | 6        | 8        | 27       | 30       | 45       | 90                     | 35       | 44       | 24       | 22       | 69                           | 20       | 20       | 3        | 0        |
| Matter Returned to Circuit Chief Judge                                      | 2         | 0        | 0        | 0        | 0        | 0        | 0                      | 1        | 0        | 0        | 0        | 0                            | 1        | 0        | 0        | 0        |
| Matter Returned to Circuit Chief Judge for Appointment of Special Committee | 0         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 0        | 0        | 0        | 0        |
| Other   | 0         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 0        | 0        | 0        | 0        |
| Received Special Committee Report   | 18        | 0        | 0        | 0        | 0        | 0        | 14 <sup>4</sup>        | <b>1</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>                     | <b>1</b> | <b>2</b> | <b>0</b> | <b>0</b> |
| <b>Action on Special Committee Report<sup>3</sup></b>                       | <b>8</b>  | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>               | <b>1</b> | <b>0</b> | <b>2</b> | <b>0</b> | <b>0</b>                     | <b>3</b> | <b>2</b> | <b>0</b> | <b>0</b> |
| Complaint Dismissed   | 9         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 9        | 0        | 0        | 0        |
| Not Misconduct or Disability  | 3         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 3        | 0        | 0        | 0        |
| Merits Related  | 0         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 0        | 0        | 0        | 0        |
| Allegations Lack Sufficient Evidence  | 3         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 3        | 0        | 0        | 0        |
| Otherwise Not Appropriate   | 3         | 0        | 0        | 0        | 0        | 0        | 0                      | 0        | 0        | 0        | 0        | 0                            | 3        | 0        | 0        | 0        |

**Table S-22**  
**Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. 351-364**  
**During the 12- Month Period Ending September 30, 2017**

| Summary of Activity  | Circuits   |           |           |           |           |           |            |           |            |           |           | National Courts <sup>1</sup> |           |           |           |          |
|--|------------|-----------|-----------|-----------|-----------|-----------|------------|-----------|------------|-----------|-----------|------------------------------|-----------|-----------|-----------|----------|
|  | Total      | Fed       | DC        | 1st       | 2nd       | 3rd       | 4th        | 5th       | 6th        | 7th       | 8th       | 9th                          | 10th      | 11th      | CC        | CIT      |
| <b>Corrective Action Taken or Intervening Events</b>   | <b>3</b>   | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>   | <b>1</b>  | <b>0</b>   | <b>0</b>  | <b>0</b>  | <b>0</b>                     | <b>0</b>  | <b>2</b>  | <b>0</b>  | <b>0</b> |
| <b>Referred Complaint to Judicial Conference</b>   | <b>0</b>   | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>   | <b>0</b>  | <b>0</b>   | <b>0</b>  | <b>0</b>  | <b>0</b>                     | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b> |
| <b>Remedial Action Taken</b>   |            |           |           |           |           |           |            |           |            |           |           |                              |           |           |           |          |
| Censure or Reprimand   | 2          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 2         | 0         | 0                            | 0         | 0         | 0         | 0        |
| Suspension of Assignments  | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| Action Against Magistrate Judge  | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| Removal of Bankruptcy Judge  | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| Requesting of Voluntary Retirement   | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| Certifying Disability of Circuit or District Judge   | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| <b>Additional Investigation Warranted</b>  |            |           |           |           |           |           |            |           |            |           |           |                              |           |           |           |          |
| Returned to Special Committee  | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| Retained by Judicial Council   | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| Received From Judicial Council   | 0          | 0         | 0         | 0         | 0         | 0         | 0          | 0         | 0          | 0         | 0         | 0                            | 0         | 0         | 0         | 0        |
| <b>Complaints Terminated by Final Action During 12-<br/>Month Period Ending September 30, 2017</b> | <b>871</b> | <b>22</b> | <b>21</b> | <b>30</b> | <b>77</b> | <b>81</b> | <b>94</b>  | <b>91</b> | <b>84</b>  | <b>46</b> | <b>62</b> | <b>142</b>                   | <b>42</b> | <b>55</b> | <b>24</b> | <b>0</b> |
| <b>Complaints Pending on September 30, 2017</b>  | <b>636</b> | <b>0</b>  | <b>20</b> | <b>25</b> | <b>41</b> | <b>53</b> | <b>106</b> | <b>73</b> | <b>123</b> | <b>15</b> | <b>57</b> | <b>23</b>                    | <b>36</b> | <b>59</b> | <b>5</b>  | <b>0</b> |

<sup>1</sup> CC = U.S. Court of Federal Claims; CIT = U.S. Court of International Trade.

<sup>2</sup> Revised.

<sup>3</sup> Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

<sup>4</sup> A single judicial conduct proceeding involved 14 judges.