

United States Court of Appeals
For The Eleventh Circuit
15 LEE STREET
MONTGOMERY, ALABAMA 36104

4/15/02
02-CR-D

ED CARNES
CIRCUIT JUDGE

TELEPHONE (334) 223-7132
FAX (334) 223-7676

March 15, 2002

Mr. John K. Rabiej
Chief, Rules Committee Support Office
Thurgood Marshall Fed. Judiciary Bldg.
One Columbus Circle, N.E.
Washington, DC 20544

Re: Proposed Amendment to Rule 32.1

Dear John:

Enclosed is a copy of an opinion from my court in United States v. Frazier, ___ F.3d ___ (11th Cir. Feb. 25, 2002). As you can see, the opinion suggests an amendment to Rule 32.1 with respect to allocution by the defendant at a proceeding to revoke supervised release. Please put this proposal on the agenda for consideration at our April meeting.

Sincerely,



ED CARNES
United States Circuit Judge

EC:bb

Enclosure

c: Dave Schlueter
Judge Wilson
Judge Hill
Judge Fay

UNITED STATES of America,
Plaintiff–Appellee,

v.

Sidney Carl FRAZIER, a.k.a. Sydney
Oliver, Defendant–Appellant.

No. 01–12880.

United States Court of Appeals,
Eleventh Circuit.

Feb. 25, 2002.

Defendant appealed from a judgment of the United States District Court for the Northern District of Florida, No. 00-00024-CR-SPM-1, Stephan P. Mickle, J., revoking his term of supervised release and sentencing him to additional incarceration. The Court of Appeals held that defendant did not have the right to allocute upon resentencing for violating the terms of his supervised release.

Affirmed.

1. Criminal Law ⇨1042, 1181.5(8)

Where the defendant fails to make a timely objection, Court of Appeals reviews a district court's failure to address a defendant personally at sentencing for plain error; furthermore, court will remand only if manifest injustice results from the omission. Fed. Rules Cr.Proc.Rule 32, 18 U.S.C.A.

2. Sentencing and Punishment ⇨2308

Defendant did not have the right to allocute upon resentencing for violating the terms of his supervised release; however, given the importance of allocution, the better practice is for district courts to provide defendants with an opportunity to allocute prior to the imposition of a sentence based upon a

violation of supervised release. Fed.Rules Cr.Proc.Rules 32(c)(3)(C), 32.1, 18 U.S.C.A.

Appeal from the United States District Court for the Northern District of Florida.

Before WILSON, HILL and FAY, Circuit Judges.

PER CURIAM:

Appellant Sidney Carl Frazier (“Frazier”) appeals from the judgment of the district court revoking his term of supervised release and sentencing him to additional incarceration. Frazier argues that the district court erred by sentencing him for violating the terms of his supervised release without allowing him to allocute before imposing the sentence. Specifically, Frazier argues that the district court improperly denied him his right of allocution pursuant to Rule 32 of the Federal Rules of Criminal Procedure. We reject this argument and affirm.

Frazier was originally convicted of possessing counterfeit notes with the intent to defraud, in violation of 18 U.S.C. § 472. On February 18, 1998, the district court sentenced him to 15 months imprisonment followed by a three year term of supervised release. Under the conditions of the supervised release, Frazier was not to commit any federal, state or local crime.

Frazier began his term of supervised release on December 1, 1998. On April 14, 2000, the jurisdiction of his supervised release was transferred to the Northern District of Florida. On April 6, 2001, the probation officer, alleging a violation of a condition of his supervision, filed a Petition for Warrant for Offender Under Supervision. Specifically, the petition alleged that Frazier had

Synopsis, Syllabi and Key Number Classification
COPYRIGHT © 2002 by WEST GROUP

The Synopsis, Syllabi and Key Number Classification constitute no part of the opinion of the court.

committed aggravated assault and battery.¹ On May 16, 2001, the district court, after conducting a hearing, found that Frazier had violated the terms of his supervised release and committed him to an additional 24 months incarceration. The record is clear that prior to sentencing the district court did not provide Frazier with an opportunity to personally address the court. Frazier made no objection at the time.

[1] Where the defendant fails to make a timely objection, we review a district court's failure to address a defendant personally at sentencing for plain error. *United States v. Gerrow*, 232 F.3d 831, 833 (11th Cir.2000), *rev'd on other grounds*, *United States v. Sanchez*, 269 F.3d 1250 (11th Cir.2001) (*en banc*). Further, this Court will remand only if "manifest injustice" results from the omission. *Gerrow*, 232 F.3d at 834 (quoting *United States v. Tamayo*, 80 F.3d 1514, 1521 (11th Cir.1996)). After reviewing the record, the parties' briefs and the argument of counsel, we find no plain error.

[2] This Court has not yet addressed the question of whether a defendant has the right to allocute upon resentencing for violating the terms of his or her supervised release. Rule 32 of the Federal Rules of Criminal Procedure specifies the process by which a sentence and judgment are imposed upon a defendant following conviction. Rule 32(c)(3)(C) provides a party with the right to allocute, requiring a district court to, "address the defendant personally and determine whether the defendant wishes to make a statement and to present any information in mitigation of the sentence," before the court imposes a sentence. Fed.R.Crim.P.

1. On February 24, 2001, Beverly Slappy filed charges against Frazier for assault and battery. Allegedly, Frazier punched Ms. Slappy in the

32(c)(3)(C). Effective December 1, 1980, Rule 32.1 was added to the Federal Rules of Criminal Procedure. It is entitled "Revocation or Modification of Probation or Supervised Release," and provides, in part, that at a revocation hearing, a person shall be afforded:

- (A) written notice of the alleged violation;
- (B) disclosure of the evidence against the person;
- (C) an opportunity to appear and to present evidence in the person's own behalf;
- (D) the opportunity to question adverse witnesses; and
- (E) notice of the person's right to be represented by counsel.

Fed.R.Crim.P. 32.1(a)(2).

Appellant urges us to find that Rule 32.1 incorporates the provision of Rule 32 concerning the right of allocution. He bases his argument on the rationale used by several of our sister circuits which have held that the right of allocution in Rule 32 applies at supervised release revocation hearings. See *United States v. Patterson*, 128 F.3d 1259, 1261 (8th Cir.1997) (holding that Rule 32 provides a defendant with the right to allocute at supervised released revocation hearings); *United States v. Rodriguez*, 23 F.3d 919, 921 (5th Cir.1994) (same); *United States v. Carper*, 24 F.3d 1157, 1162 (9th Cir.1994) (same). Although we find these decisions reasonable, we find the conclusion of the Sixth Circuit in *United States v. Waters*, 158 F.3d 933 (6th Cir.1998), more persuasive.

The focus of the discussion before us is whether Rule 32.1 also incorporates the additional provisions of Rule 32 including, but not limited to, the right of allocution. We think

head and threw her on the ground, punching and kicking her several times. Further, he threatened her with a tire iron and stole her money.

not. The appellant in *Waters* argued that the lower court erred by failing to provide him with an opportunity to allocute prior to sentencing for violating his supervised release. See *id.* at 942. In deciding that Rule 32.1 does not incorporate the provisions of Rule 32, the court noted that, "[Rule 32.1] is silent with respect to whether a defendant has a right to allocute before sentence is imposed at a revocation hearing." *Id.* at 943. The court concluded that the right of allocution specified in Rule 32 does not apply at supervised release revocation hearings. See *id.* at 944. Were we to hold that Rule 32.1 incorporates all of the provisions of Rule 32, the sentencing court would not only have to give the defendant a right to allocution, it would have to require presentence investigation reports along with all of the other demands of the rule. See *id.* In our opinion, this would render Rule 32.1 superfluous. However, given the importance of allocution, we agree that the better practice is for district courts to provide defendants with an opportunity to allocute prior to the imposition of a sentence based upon a violation of supervised release.

In suggesting this procedure we are mindful of what we did in *United States v. Eads*, 480 F.2d 131, 133 (5th Cir.1973).² In *Eads*, we *sua sponte* noted that the defendant was not given the right to allocute prior to sentencing at a revocation hearing which terminated his term of probation. See *id.* The Court, stressing the importance of the right to allocute and the fundamental nature of such in the process of imposing any sentence

2. This Court adopted as binding precedent all decisions of the Fifth Circuit handed down prior to October 1, 1981. See *Bonner v. City of Prich-*

ard, 661 F.2d 1206, 1209 (11th Cir.1981) (*en banc*).

of incarceration, granted the appellant the right to allocute. Although the right to allocution was granted to *Eads*, we recognize that a revocation of probation is different from the revocation of supervised release. See *Waters*, 158 F.3d at 943 (distinguishing sentencing for a violation of supervised release from a probation violation). We also note that Rule 32.1 was not in existence until 1980. Because Rule 32.1 is silent with respect to the right to allocute at a revocation hearing, and since *Eads* does not control our situation, there exists no legal requirement to grant a defendant the right to allocution at a revocation hearing for supervised release. Consequently, Frazier's rights were not violated; and thus, there is no error, plain or otherwise.

It does appear to us, however, that this question is one that should be addressed by the Advisory Committee on the Federal Rules of Criminal Procedure. The right of allocution seems both important and firmly embedded in our jurisprudence. We suspect that its omission from Rule 32.1 could be the result of a simple oversight.

In conclusion, the district court did not commit plain error in denying Frazier an opportunity to allocute prior to imposing the sentence because there presently exists no such requirement. Further, there was no manifest injustice that resulted from the omission. The judgment of the district court is affirmed.

AFFIRMED.

ard, 661 F.2d 1206, 1209 (11th Cir.1981) (*en banc*).